

---

# Thesis/Dissertation Approval Form

## When to Use this Form

This form is used by Doctoral candidates and Master's candidates in a thesis program to receive approval of their thesis or dissertation, as a requirement for graduation. Candidates should have already submitted a thesis to Trace ([trace.utk.edu](http://trace.utk.edu)) electronically.

## Instructions

1. Fill out all fields on the form, being certain to provide the complete and accurate title of the dissertation or thesis. *Note that this title will appear on your transcript exactly as typed in this field.*
2. If you wish to request a one-year embargo that will delay the posting of your electronic thesis or dissertation (ETD), please fill out the fields under Embargo Request, being sure to provide a justification for the embargo. For more details on the Embargo Policy, please see the Graduate School website ([tiny.utk.edu/etd-policy](http://tiny.utk.edu/etd-policy)). Be certain to sign where indicated, and get your advisor's signature.
3. Print the form and initial the items on top of the second page.
4. Get all committee members to sign where indicated. **If you are having difficulty getting original signatures, please contact the Graduate School at 865-974-2475 ([gradschool@utk.edu](mailto:gradschool@utk.edu)), and we can help find a solution.**
5. Deliver the form to the Graduate School either by delivering it personally, or sending the form by mail. **It is the candidate's responsibility to ensure that the form has been delivered to the Graduate School by the deadline date (see [tiny.utk.edu/grad-deadlines](http://tiny.utk.edu/grad-deadlines) for precise date and time).**

# Thesis/Dissertation Approval Form

**Name:** \_\_\_\_\_  
*Last First Middle*

**Student ID #:** \_\_\_\_\_ **Email:** \_\_\_\_\_

**Degree:** \_\_\_\_\_ **Major:** \_\_\_\_\_  
*Ex. MS, MA, PhD, EdS*

**Title of Thesis/  
Dissertation:**

As major professor for the student named above, I certify by signing below that I have read this student's defended thesis or dissertation, have approved changes required by the final examiners, have confirmed that the document has been checked for plagiarism, and recommend the thesis or dissertation to the Graduate School for acceptance.

\_\_\_\_\_  
*Printed Name (Major Professor) Signature (Major Professor) Date*

The undersigned certify that they have examined the final electronic copy of this thesis/dissertation approval form and content and recommend that it be accepted by the Graduate School.

\_\_\_\_\_  
*Printed Name (Committee Member) Signature (Committee Member) Date*

\_\_\_\_\_  
*Printed Name (Committee Member) Signature (Committee Member) Date*

\_\_\_\_\_  
*Printed Name (Committee Member) Signature (Committee Member) Date*

\_\_\_\_\_  
*Printed Name (Committee Member) Signature (Committee Member) Date*

\_\_\_\_\_  
*Printed Name (Committee Member) Signature (Committee Member) Date*

**To be completed by the graduate school**

\_\_\_\_\_  
*Month/Year Graduation Referred To Approved Denied*

# Thesis/Dissertation Approval Form

## Student Agreement

The following items **must** be initialed by the student for final approval of submission of thesis/dissertation.

I certify that the version of my ETD that I submitted as my final copy to TRACE is the same as that approved by my committee

\_\_\_\_\_

I certify that any use I have made in my ETD of third party works (materials created by other people) fall under fair use. If my use of others' work in my ETD does not fall under fair use, I have sought permission for use from the copyright holders. I understand the copyright issues explained at: <http://libguides.utk.edu/scholarlypublishing/copyright>.

\_\_\_\_\_

I hereby grant UT and its agents the non-exclusive license to archive and make accessible my ETD now or hereafter known. I retain all ownership rights to the copyright of the ETD. I also retain the right to use in future works (such as articles or books) all or part of this thesis or dissertation.

\_\_\_\_\_

I certify that none of my work has been published in journals, books, theses, dissertations, or any other third party publications; or, if I am including my own work that has already been published in such third party publications, I certify that I have examined the contract/agreement I signed and/or contacted someone at the publishing company to ensure that I have permission to include this work in my ETD.

\_\_\_\_\_

## Embargo Request

Be sure you have read the instructions and understand the embargo policy before completing this section. If you are not requesting an embargo, this section (including the signature spaces below) can be left entirely blank.

I would like my ETD to be held from release for one year from the date my degree is conferred.

**Justification:**

\_\_\_\_\_  
*Student Signature*

\_\_\_\_\_  
*Date*

\_\_\_\_\_  
*Advisor Signature*

\_\_\_\_\_  
*Date*